

# Unitals and replaceable $t$ -nests

S. G. BARWICK     D. J. MARSHALL

*School of Mathematical Sciences  
University of Adelaide  
Adelaide  
Australia*

susan.barwick@adelaide.edu.au     daniel.marshall@adelaide.edu.au

## Abstract

Let  $\overline{U}$  be a known unital of  $PG(2, q^2)$ ,  $q$  odd, and let  $N$  be a  $t$ -nest in a regular spread  $\mathcal{S}$ . Suppose we replace  $N$  by a replacement set  $\hat{N}$  to form the new spread  $\mathcal{S}' = (\mathcal{S} \setminus N) \cup \hat{N}$ . Using the Bruck-Bose correspondence, we look at the affine points of  $\overline{U}$  in  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$  and see whether the corresponding affine point set in the new plane  $\mathcal{P}(\mathcal{S}')$  can be completed to a unital.

## 1 Introduction

We wish to investigate the effect that  $t$ -nest replacement has on unitals of the Desarguesian plane  $PG(2, q^2)$  where  $q$  is odd. Let  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$  be the projective plane corresponding to a regular spread  $\mathcal{S}$  via the Bruck-Bose correspondence. Let  $\overline{U}$  be a known unital in  $\mathcal{P}(\mathcal{S})$ .

In [3], [4], [11], [13], [15], [16] the authors investigate the effect of derivation on unitals of  $PG(2, q^2)$  and the structure of the corresponding sets in the Hall plane. That is, suppose a regulus is replaced in the spread  $\mathcal{S}$  to form a new spread  $\mathcal{S}'$ ; can the corresponding affine point-sets of  $\overline{U}$ , in the new plane  $\mathcal{P}(\mathcal{S}')$ , be completed to unitals? It was shown that in some cases it is possible and in others it is not.

In this paper, we will look at a similar problem. Suppose we replace a  $t$ -nest in the spread  $\mathcal{S}$ . That is, if we replace the  $t$ -nest  $N$  to form a new spread  $\mathcal{S}'$ , in the new plane  $\mathcal{P}(\mathcal{S}')$  can we complete the corresponding affine point-sets of  $\overline{U}$  to unitals?

By considering the different known unitals of  $PG(2, q^2)$ , via the Bruck-Bose correspondence, we divide the analysis into different cases. We summarise the findings in Theorem 4.6. We conclude by remarking on unitals in the new plane  $\mathcal{P}(\mathcal{S}')$  that are not inherited from  $\mathcal{P}(\mathcal{S})$ .

## 2 Background

A **spread** of  $\Sigma_\infty = PG(3, q)$  is a collection of  $q^2 + 1$  mutually skew lines which necessarily partition the points of  $\Sigma_\infty$ . A **regulus** of  $\Sigma_\infty$  is a collection  $\mathcal{R}$  of  $q + 1$  mutually skew lines in  $\Sigma_\infty$  with the property that any line meeting three lines of  $\mathcal{R}$  necessarily meets all lines of  $\mathcal{R}$ . The transversals to a regulus  $\mathcal{R}$  form another regulus  $\mathcal{R}'$ , called the **opposite regulus** to  $\mathcal{R}$ , where  $\mathcal{R}'$  covers the same set of points as  $\mathcal{R}$ . Any three mutually skew lines of  $\Sigma_\infty$  uniquely determine a regulus containing them, and a spread of  $\Sigma_\infty$  is called **regular** if the regulus determined by any three of its lines is completely contained in the spread.

We will use the Bruck-Bose representation of a translation plane  $\mathcal{P}$  of order  $q^2$ , with dimension at most 2 over its kernel, in  $PG(4, q)$ . Let  $\Sigma_\infty$  be a hyperplane of  $PG(4, q)$  and let  $\mathcal{S}$  be a spread of  $\Sigma_\infty$ . We define a new incidence structure  $\mathcal{A}(\mathcal{S})$  as follows: the points of  $\mathcal{A}(\mathcal{S})$  are the points of  $PG(4, q) \setminus \Sigma_\infty$ , the lines of  $\mathcal{A}(\mathcal{S})$  are the planes of  $PG(4, q)$  that meet  $\Sigma_\infty$  in a line of  $\mathcal{S}$  and incidence is the natural inclusion. From [7] or [1], it is known that  $\mathcal{A}(\mathcal{S})$  is an affine translation plane of order  $q^2$ . We complete  $\mathcal{A}(\mathcal{S})$  to a projective plane  $\mathcal{P}(\mathcal{S})$  of order  $q^2$  by letting the points of the line at infinity  $l_\infty$  of  $\mathcal{P}(\mathcal{S})$  be the lines of the spread  $\mathcal{S}$ . The translation plane  $\mathcal{P}(\mathcal{S})$  is Desarguesian if and only if  $\mathcal{S}$  is regular [8].

A unital in a projective plane  $\mathcal{P}$  of order  $q^2$  is a set  $\overline{U}$  of  $q^3 + 1$  points such that every line of the plane meets  $\overline{U}$  in 1 or  $q + 1$  points. A line of  $\mathcal{P}$  is a **tangent line** or a **secant line** of  $\overline{U}$  if it contains 1 or  $q + 1$  points of  $\overline{U}$  respectively. Each point of  $\overline{U}$  lies on 1 tangent and  $q^2$  secant lines of  $\overline{U}$ . Each point of  $\mathcal{P}$  not in  $\overline{U}$  lies on  $q + 1$  tangent lines and  $q^2 - q$  secant lines of  $\overline{U}$ . A known unital in  $PG(2, q^2)$  is the **classical unital**, which consists of the absolute points and non-absolute lines of a unitary polarity. See [6] for more information about unitals.

Let  $\mathcal{S}$  be a regular spread of  $\Sigma_\infty$  and let  $\mathcal{S}$  correspond to the line at infinity  $l_\infty$  of  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$ . Let  $\overline{U}$  be a classical unital in  $PG(2, q^2)$ . In [9], it was shown that if  $\overline{U}$  is secant to  $l_\infty$ , then  $\overline{U}$  corresponds to a non-singular quadric of  $PG(4, q)$  that meets  $\Sigma_\infty$  in a regulus of the spread  $\mathcal{S}$ . It was also shown that if the classical unital  $\overline{U}$  is tangent to  $l_\infty$  at the point  $P_\infty$  then  $\overline{U}$  corresponds to an elliptic cone in  $PG(4, q)$  that meets  $\Sigma_\infty$  in the corresponding line  $p_\infty$  of the spread  $\mathcal{S}$ .

Let  $\mathcal{S}$  be a spread of  $\Sigma_\infty$ , not necessarily regular. Let  $\overline{U}$  be an ovoidal cone of  $PG(4, q)$  that meets  $\Sigma_\infty$  in the line  $p_\infty$  of  $\mathcal{S}$ . The vertex of  $\overline{U}$  is a point  $V$  which is necessarily on the line  $p_\infty$ . In [9] it was shown that  $\overline{U}$  corresponds to a unital in  $\mathcal{P}(\mathcal{S})$  which is tangent to  $l_\infty$  at the point  $P_\infty$  which corresponds to the line  $p_\infty$  of  $\mathcal{S}$ . Also, as shown in [9], if  $\overline{U}$  is a non-singular quadric in  $PG(4, q)$  that meets  $\Sigma_\infty$  in a regulus of the spread  $\mathcal{S}$ , then  $\overline{U}$  corresponds to a unital of  $\mathcal{P}(\mathcal{S})$  which is secant to  $l_\infty$ . We call a unital of  $\mathcal{P}(\mathcal{S})$  that corresponds to a non-singular quadric in  $PG(4, q)$ , a **non-singular-Buekenhout unital**. A unital of  $\mathcal{P}(\mathcal{S})$  that corresponds to an ovoidal cone in  $PG(4, q)$  we call an **ovoidal-Buekenhout-Metz unital**. In [5] it was shown that in  $PG(2, q^2)$  every non-singular-Buekenhout unital is classical. In [9] and [14] it was shown that there exist ovoidal-Buekenhout-Metz unitals of  $PG(2, q^2)$  that are not

classical. All known unitals in  $PG(2, q^2)$  are either non-singular-Buekenhout unitals or ovoidal-Buekenhout-Metz unitals [6].

We can use the Bruck-Bose setting to form new translation planes from existing translation planes using the technique of *net replacement*. The method of net replacement, in a spread  $\mathcal{S}$ , is to replace some subset of the lines of  $\mathcal{S}$ , say  $V$ , by another set  $V'$  of mutually skew lines in  $\Sigma_\infty$  which cover exactly the same points as  $V$ . As long as the resulting spread  $\mathcal{S}' = (\mathcal{S} \setminus V) \cup V'$  is not regular, we have constructed a non-Desarguesian translation plane  $\mathcal{P}(\mathcal{S}')$  of order  $q^2$  with kernel  $GF(q)$ . One example of net replacement is derivation, where  $V$  is a regulus in the spread and  $V'$  is the opposite regulus to  $V$ .

### 3 $t$ -nest replacement

In [2], a potential form of net replacement is defined, that is, *nest replacement*. Let  $\mathcal{S}$  be a regular spread, so  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$ , and let  $q$  be odd. A nest of reguli in the spread  $\mathcal{S}$ , is defined to be a set  $N$  of reguli contained in  $\mathcal{S}$  such that every line of  $\mathcal{S}$  is contained in precisely 0 or 2 reguli of  $N$ . If  $N$  contains  $t$  reguli then  $N$  is called a  **$t$ -nest**. For the existence of  $t$ -nests and a survey of various results, see [12]. It is known that  $t$ -nests exist for  $\frac{q+3}{2} \leq t \leq 2(q-1)$  and that no other size is possible.

Denote the reguli of a  $t$ -nest  $N$  by  $R_1, \dots, R_t$ . A  $t$ -nest  $N$  contains  $t\frac{q+1}{2}$  lines of the spread  $\mathcal{S}$  and we call these lines, the *lines of  $N$* . The points in  $\mathcal{P}(\mathcal{S})$  that correspond to the lines of  $N$  will be denoted by  $P_N$ .

Let  $N = \{R_1, \dots, R_t\}$  be a  $t$ -nest. Then  $N$  is called a **half-regulus replaceable  $t$ -nest**, if for each regulus  $R_i$ , a set  $\hat{R}_i$  of  $\frac{q+1}{2}$  lines from the opposite regulus  $R'_i$  can be chosen such that the lines of  $\hat{R}_j$  are disjoint from the lines of  $\hat{R}_i$  where  $i \neq j$ . The line-sets  $\hat{R}_i$  will be referred to as *half-reguli*.

Let  $N$  be a half-regulus replaceable  $t$ -nest. The corresponding set,  $\hat{N} = \{\hat{R}_1, \dots, \hat{R}_t\}$  of half-reguli, is such that the lines of  $\hat{R}_1, \dots, \hat{R}_t$  are pairwise disjoint and cover the same points as the lines of  $R_1, \dots, R_t$ . Thus  $\hat{N}$  is a replacement set for  $N$ . It is known, [17], that for  $t \leq q$ , all  $t$ -nests which have a replacement set are half-regulus replaceable and  $\hat{N}$ , as described above, is the only possible replacement set.

We will need the following lemma which describes how a regulus of the spread  $\mathcal{S}$  meets the lines of a nest.

**Lemma 3.1** *A regulus  $R$  in the regular spread  $\mathcal{S}$  meets a  $t$ -nest  $N$  of  $\mathcal{S}$  in at most  $t$  lines of  $N$  or in  $q+1$  lines of  $N$ .*

**Proof** If  $R \in N$ , then  $R$  meets the lines of  $N$  in  $q + 1$  lines.

So suppose that  $R \notin N$ . Let  $N = \{R_1, R_2, \dots, R_t\}$ . We wish to compute the maximum number of lines in the intersection of  $R$  and  $N$ .

The process begins as follows, suppose  $N$  and  $R$  share at least one line  $l$ . Then  $l$  is covered by two reguli of  $N$ , say  $R_1$  and  $R_2$ . The regulus  $R$  can share at most two lines with any regulus of  $N$  otherwise  $R \in N$ . Hence  $R_1$  can contain at most one further line of  $R$ , similarly  $R_2$  can contain at most one further line of  $R$ . Thus there are four cases:

*Case 1: Suppose  $R_1$  meets  $R$  in two lines  $l, m$  and  $R_2$  meets  $R$  in two lines  $l, n$  ( $m \neq n$ ).* Here there exists a regulus of  $N$ , say  $R_3$ , that covers  $m$  since the reguli of  $N$  doubly cover  $m$ . From here we have two possibilities:

Either,  $R_3$  also covers  $n$  and then there are no more lines of  $R$  contained in  $R_1, R_2$  or  $R_3$ . In that case we have doubly covered three lines in  $R$ . We have  $t - 3$  further reguli  $R_4, \dots, R_t$  of  $N$  and need to consider whether these meet  $R$ . This will be called the maximal case, when the number of reguli of  $N$  used is the same as the number of lines of  $R$  used. Any case, where we have considered more reguli of  $N$  than lines of  $R$ , will not give us a maximal intersection between  $R$  and the lines of  $N$ . We may now start the process again, from the beginning of the proof, using the reguli  $R_4, \dots, R_t$ .

Otherwise, there is a another regulus of  $N$ , say  $R_4$ , that covers  $n$ . From here, we have four possibilities,

*Case 1a:  $R_3$  and  $R_4$  do not contain any more lines of the intersection of  $R$  and  $N$ .*

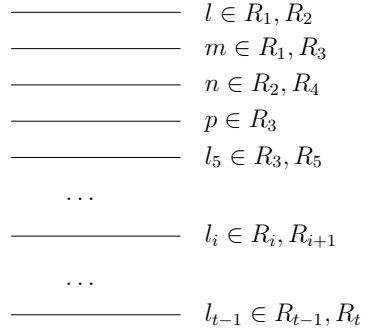
This case is not maximal, as we have	—————	$l \in R_1, R_2$
considered four reguli $R_1, R_2, R_3, R_4$ of	—————	$m \in R_1, R_3$
$N$ but only three lines $l, m, n$ of $R$ .	—————	$n \in R_2, R_4$

*Case 1b:  $R_3$  and  $R_4$  both share one further line  $p \in R$ .*

Then we have no more reguli of $N$ shar-	—————	$l \in R_1, R_2$
ing lines of $R$ with $R_1, R_2, R_3$ and $R_4$ ,	—————	$m \in R_1, R_3$
hence we have $t - 4$ reguli of $N$ remaining	—————	$n \in R_2, R_4$
and have considered four lines $l, m, n, p$	—————	$p \in R_3, R_4$
of $R$ . So we may start the entire process		
again, from the beginning of the proof,		
using the reguli $R_5, \dots, R_t$ .		

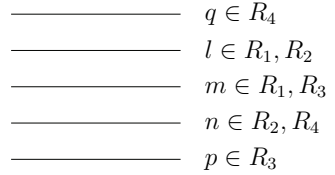
*Case 1c: One of  $R_3$  and  $R_4$ , say  $R_3$  has another line  $p$  in common with  $R$  but  $p \notin R_4$ .*

In this case the line  $p$  needs to be doubly covered by reguli of  $N$ , so let  $p$  also be a line of  $R_5$ , say. If  $R_5$  shares no further line with  $R$ , then we have considered five reguli to cover four lines of intersection, which gives us a non-maximal case. So assume  $R_5$  shares a further line with  $R$ , say  $l_5$ . We can continue this case, each time covering the new line  $l_i$  shared between some  $R_i$  of  $N$  and  $R$  with a new regulus  $R_{i+1}$  of  $N$  until we consider all the lines of  $R$  or all the reguli of  $N$ . In the former case, we have  $q + 1$  lines of intersection. In the latter case, when  $i = t - 1$ , we have only one more regulus  $R_t$  of  $N$  left and  $R_t$  must doubly cover the extra line shared between  $R_{t-1}$  and  $R$ . The regulus  $R_t$  can not share any more lines with  $R$ , otherwise we would not be able to doubly cover this extra line, hence we have considered  $t$  reguli but only have  $t - 1$  lines of intersection. Again, this is a non-maximal case.



*Case 1d:  $R_3$  has a line  $p$  in common with  $R$  and  $R_4$  has a line  $q$  in common with  $R$ , but  $p \notin R_4$  and  $q \notin R_3$ .*

In this case, we can repeat the process as in Case 1 with two new reguli  $R_5$  and  $R_6$ . It is possible to continue with this case considering  $R_i$  and  $R_{i+1}$  each having distinct lines in common with  $R$ . We finish when either we run out of reguli in  $N$  or we reach a point when there is only one remaining regulus of  $N$ . In the former case we have considered more reguli of  $N$  than lines of  $R$ , hence we have a non-maximal case. In the latter case, the final regulus  $R_t$  of  $N$  must complete the double covering of the last two reguli  $R_{t-2}$  and  $R_{t-1}$  as in Case 1b.



*Case 2:  $R_1$  and  $R_2$  both meet  $R$  in the same two lines  $l, m$ .*

Here  $R_1$  and  $R_2$  can contain no more lines of  $R$ . So we have two lines  $R$  and a further  $t - 2$  reguli of  $N$  left to consider. Since we have considered the same number of reguli of  $N$  as lines of  $R$ , we can start the process again, from the beginning of the proof, with the reguli  $R_3, \dots, R_t$ .

*Case 3:  $R_1$  and  $R_2$  only meet  $R$  in the same unique line  $l$ .*

Here we have considered one line of  $R$  and have  $t - 2$  reguli of  $N$  left to consider. This case is not maximal, since we have considered more reguli of  $N$  than lines of  $R$ .

*Case 4: Only one of  $R_1$  and  $R_2$  meets  $R$  in a second line  $m$ .*

We are again in a non-maximal case.

We repeat the above process, assuming  $R$  and  $N$  share additional lines in common until we have considered all the reguli of  $N$  or exhausted all the lines of  $R$ . At this

point we reach the maximum number of shared lines between  $R$  and  $N$ . So, from above, the maximal case is that we use one reguli of  $N$  for each line of intersection between  $R$  and  $N$ . So  $R$  contains at most  $t$  lines from the reguli of  $N$ .

If  $t > q + 1$ , then we can only have a maximum of  $q + 1$  lines shared between  $R$  and the reguli of  $N$ .  $\square$

## 4 Unitals after $t$ -nest replacement

We wish to see what happens to the known unitals in  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$  after we perform a  $t$ -nest replacement. Given a unital  $\overline{U}$  in  $\mathcal{P}(\mathcal{S})$ , does the corresponding affine point set in the new plane  $\mathcal{P}(\mathcal{S}')$  complete to a unital or not?

We work in the Bruck-Bose correspondence of  $PG(2, q^2)$  in  $PG(4, q)$ , where  $q$  is odd. So  $PG(2, q^2) \cong \mathcal{P}(\mathcal{S})$  where  $\mathcal{S}$  is a regular spread of  $\Sigma_\infty$ . Let  $l_\infty$  be the line at infinity in  $\mathcal{P}(\mathcal{S})$ . Suppose the spread  $\mathcal{S}$  contains a half-regulus replaceable  $t$ -nest  $N$ . When we replace  $N$  with  $\hat{N}$  we form the new spread  $\mathcal{S}' = (\mathcal{S} \setminus N) \cup \hat{N}$  which corresponds to the plane  $\mathcal{P}(\mathcal{S}')$ . Let  $l'_\infty$  be the line at infinity in  $\mathcal{P}(\mathcal{S}')$ . We denote the reguli of  $N$  by  $R_1, \dots, R_t$  and the corresponding half-reguli of  $\hat{N}$  by  $\hat{R}_1, \dots, \hat{R}_t$ .

Denote our unital in  $\mathcal{P}(\mathcal{S})$  by  $\overline{U}$  and the corresponding point-set in  $PG(4, q)$  by  $\overline{U}$ . Denote the affine point-set of  $\overline{U}$  in  $\mathcal{P}(\mathcal{S})$  by  $U$  and let  $\mathcal{U}$  be the corresponding affine point-set in the Bruck-Bose representation of  $PG(2, q^2)$  in  $PG(4, q)$ . Let  $U'$  represent the affine point set in  $\mathcal{P}(\mathcal{S}')$  that corresponds to  $U$ .

We first consider those unitals that correspond to a non-singular quadric in the Bruck-Bose representation of  $PG(2, q^2)$  in  $PG(4, q)$ . We then consider those unitals that correspond to an ovoidal cone in the Bruck-Bose representation in  $PG(4, q)$ .

### Non-singular-Buekenhout unitals

Let  $\overline{U}$  be a non-singular-Buekenhout unital in  $PG(2, q^2)$ . That is,  $\overline{U}$  is secant to  $l_\infty$  and corresponds to a non-singular quadric  $\overline{U}$  in the Bruck-Bose representation in  $PG(4, q)$  such that  $\overline{U}$  meets  $\Sigma_\infty$  in a regulus of the spread  $\mathcal{S}$ .

Let  $R$  be the regulus of  $\mathcal{S}$  that is contained in  $\overline{U}$ . We need to consider three different cases, depending on how the lines of  $R$  meet the lines of the reguli of  $N$ . The possibilities are:  $R$  is a regulus of  $N$ ,  $R$  shares lines with the lines of  $N$  but is not a regulus of  $N$  or  $R$  shares no lines with the lines of  $N$ .

**Lemma 4.1** *If  $\overline{U}$  meets  $\mathcal{S}$  in a regulus of  $N$ , then the point set  $U'$  in  $\mathcal{P}(\mathcal{S}')$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*

**Proof** Suppose  $\overline{\mathcal{U}}$  meets  $\mathcal{S}$  in the regulus  $R_t$  of  $N$ . Consider,  $\hat{N} = \{\hat{R}_1, \dots, \hat{R}_t\}$ , the replacement set of opposite half-reguli to  $N = \{R_1, \dots, R_t\}$ . The quadric  $\overline{\mathcal{U}}$  contains the  $\frac{q+1}{2}$  lines of  $\hat{R}_t$ . Every line of a half-regulus  $\hat{R}_i$ , where  $R_i$  shares a line with  $R_t$ , intersects  $\overline{\mathcal{U}}$  in either one or two points.

Let  $m$  be a line of  $\hat{R}_t$ . Let  $P$  be a point of  $\mathcal{U}$ . Now, the plane  $\langle P, m \rangle$  meets  $\overline{\mathcal{U}}$  in the  $q+1$  points of  $m$  and the point  $P$ . A plane in  $PG(4, q)$  intersects a quadric in  $1, q+1$  or  $2q+1$  points. Hence  $\langle P, m \rangle$  meets  $\overline{\mathcal{U}}$  in  $2q+1$  points. So  $\langle P, m \rangle$  meets  $\overline{\mathcal{U}}$  in  $q$  points, since we remove the points of  $m$  when looking at the affine part of  $\overline{\mathcal{U}}$ .

Suppose we replace the nest  $N$  with  $\hat{N}$  to form the new spread  $\mathcal{S}'$ . The plane  $\langle P, m \rangle$  corresponds to a line  $m_P$  in  $\mathcal{P}(\mathcal{S}')$  and the line  $m_P$  is a  $q$ -secant of  $U'$ . Now, every line of  $\mathcal{P}(\mathcal{S}')$  intersects a unital in  $1$  or  $q+1$  points. Hence, to complete  $U'$  to a unital of  $\mathcal{P}(\mathcal{S}')$ , we need to add the point of infinity  $m_P \cap l'_\infty$  to  $U'$ . This point corresponds to the line  $l$  in the spread. Hence, for each line  $m$ , there is a corresponding point of  $l'_\infty$  that we need to add to  $U'$ . There are  $\frac{q+1}{2}$  lines in  $\hat{R}_t$ , so we need to add  $\frac{q+1}{2}$  points of  $l'_\infty$  to  $U'$ .

Now, suppose  $R_t$  shares lines with  $k$  other reguli of  $N$ , say  $\{R_1, \dots, R_k\}$ . Let  $P$  be a point of  $\mathcal{U}$ . Let  $l$  be a line of some  $\hat{R}_i$ ,  $i \in 1, \dots, k$ , so  $l$  is a line in an opposite half-regulus to a regulus of  $N$  that shares lines with  $R_t$ . Denote the particular half-regulus to which  $l$  belongs by  $\hat{R}_i$ . The line  $l$  intersects  $\overline{\mathcal{U}}$  in one or two points according to whether  $\hat{R}_i$  intersects one or two lines of  $R_t$ . Thus the plane  $\langle P, l \rangle$  meets  $\overline{\mathcal{U}}$  in at least two points,  $P$  and  $l \cap \overline{\mathcal{U}}$ .

We note again that, in  $PG(4, q)$ , a plane meets a quadric in  $1, q+1$  or  $2q+1$  points. Here,  $\langle P, l \rangle$  contains at least two points of  $\overline{\mathcal{U}}$ , hence  $\langle P, l \rangle$  meets  $\overline{\mathcal{U}}$  in either  $q+1$  or  $2q+1$  points.

So suppose  $|\langle P, l \rangle \cap \overline{\mathcal{U}}| = 2q+1$ . Then  $\langle P, l \rangle$  meets  $\mathcal{U}$  in  $2q$  or  $2q-1$  points depending on whether  $l$  intersects  $R_t$  in one or two points. If we replace the nest  $N$ , then  $\langle P, l \rangle$  corresponds to a line  $l_P$  of  $\mathcal{P}(\mathcal{S}')$  which is either a  $(2q)$ - or  $(2q-1)$ -secant of  $U'$ . This means  $U'$  cannot be completed to a unital in  $\mathcal{P}(\mathcal{S}')$  as a unital must have every line of  $\mathcal{P}(\mathcal{S}')$  intersecting it in  $1$  or  $q+1$  points.

Now, suppose  $|\langle P, l \rangle \cap \overline{\mathcal{U}}| = q+1$  and  $l$  intersects  $R_t$  in two points. We then have that  $\langle P, l \rangle$  meets  $\mathcal{U}$  in  $q-1$  points. If we replace the nest  $N$ , the plane  $\langle P, l \rangle$  corresponds to a line  $l_P$  of  $\mathcal{P}(\mathcal{S}')$  that is a  $(q-1)$ -secant of  $U'$ . Adding the point  $l_P \cap l'_\infty$  to  $U'$  does not give us the required  $(q+1)$ -secant. Hence  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .

Suppose then that  $|\langle P, l \rangle \cap \overline{\mathcal{U}}| = q+1$  and  $l$  intersects  $R_t$  in one point. We also assume that this is the case for every  $l \in R_i$ ,  $i = 1, \dots, k$ , since we have considered the other possible cases above. That is, every regulus of  $N$  that contains a line of  $R_t$ , contains exactly one line of  $R_t$ . So there are  $q+1$  reguli of  $N$ , say  $R_1, \dots, R_{q+1}$  that share one line with  $R_t$ . The line  $l$  belongs to one of the corresponding half-reguli  $\hat{R}_1, \dots, \hat{R}_{q+1}$ .

Now, the plane  $\langle P, l \rangle$  meets  $\mathcal{U}$  in  $q$  points. If we replace the nest  $N$  then this plane corresponds to a line  $l_P$  in  $\mathcal{P}(\mathcal{S}')$  which is a  $q$ -secant of  $U'$ . For  $U'$  to be completed

to a unital in  $\mathcal{P}(\mathcal{S}')$ , the line  $l_P$  needs to be completed to a  $(q+1)$ -secant. To do this, we must add the point of infinity  $l_p \cap l'_\infty$  to  $U'$  and this point corresponds to the line  $l$  in the spread. This will be the case for every such  $l \in \hat{R}_1, \dots, \hat{R}_{q+1}$ . Now, there are  $q+1$  half-reguli  $\hat{R}_1, \dots, \hat{R}_{q+1}$  whose lines intersect lines of  $R_t$ . Each of these half-reguli contains  $\frac{q+1}{2}$  lines. Hence we must add  $(q+1)\frac{q+1}{2}$  points of  $l'_\infty$  to  $U'$  to try to complete it to a unital.

From above, we know we have already added at least  $\frac{q+1}{2}$  points of  $l'_\infty$ , hence we must add a total of  $\frac{q+1}{2} + (q+1)\frac{q+1}{2}$  points. This means  $l'_\infty$  is a  $(\frac{q+1}{2} + (q+1)\frac{q+1}{2})$ -secant. Since  $\frac{q+1}{2} + (q+1)\frac{q+1}{2}$  is greater than  $q+1$ , the line  $l'_\infty$  is not a tangent or a  $(q+1)$ -secant to  $U'$ . Hence, the point-set  $U'$  can not be completed to a unital of  $\mathcal{P}(\mathcal{S})$ .  $\square$

**Lemma 4.2** *If  $\bar{U}$  meets  $\mathcal{S}$  in a regulus  $R$  that is not a regulus of  $N$  but  $R$  contains at least one line of  $N$ , then the point set  $U'$  can not be completed to a unital after nest replacement.*

**Proof** We know from Lemma 3.1 that, if  $R$  contains at least one line of  $N$ , then  $R$  contains at most  $t$  lines of  $N$  or  $q+1$  lines of  $N$ .

Suppose  $R$  contains exactly one line  $n_1$  from the regulus of  $N$ . Let  $R_1$  and  $R_2$  be the two reguli of  $N$  that doubly cover  $n_1$ . Let  $\hat{R}_1$  and  $\hat{R}_2$  be the corresponding opposite half-reguli in  $\hat{N}$  to  $R_1$  and  $R_2$ . Now,  $n_1$  intersects  $\frac{q+1}{2}$  lines of  $\hat{R}_1$  and  $\frac{q+1}{2}$  lines of  $\hat{R}_2$ . So  $\bar{U}$  meets the new spread  $\mathcal{S}'$  in  $2 \cdot \frac{q+1}{2}$  lines plus the  $q$  lines of  $R \setminus n_1$ , which are not in  $N$ . This gives a total of  $2q+1$  lines of  $\mathcal{S}'$  that  $\bar{U}$  meets. Using a similar argument to the proof of Lemma 4.1, we show that we have to add too many points of  $l'_\infty$  to  $U'$  and hence  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .

Suppose  $\bar{U}$  contains exactly  $r$  lines of  $N$ , where  $1 < r \leq q+1$ . Then by a similar argument to that above, we show that  $\bar{U}$  meets at least  $\lceil \frac{r}{2} \rceil (q+1)$  lines of  $\hat{N}$  and  $(q+1) - r$  lines of  $\mathcal{S} \setminus N$ . Hence, by an argument similar to the proof of Lemma 4.1, we have that  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .  $\square$

**Lemma 4.3** *If  $\bar{U}$  meets  $\mathcal{S}$  in a regulus  $R$  and  $R$  contains no lines of  $N$ , then  $U'$  can be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*

**Proof** Let  $P_N$  denote the set of points in  $\mathcal{P}(\mathcal{S})$  that correspond to the lines of  $N$ . The lines of  $R$ , in the spread  $\mathcal{S}$ , correspond to the points  $\bar{U} \cap l_\infty$ , in  $\mathcal{P}(\mathcal{S})$ . Since  $R$  contains no lines of  $N$ , in  $\mathcal{P}(\mathcal{S})$  the points  $\bar{U} \cap l_\infty$  are disjoint from the points  $P_N$ . If we replace the  $t$ -nest  $N$ , then the  $q+1$  points of  $\bar{U} \cap l_\infty$  remain unchanged as  $q+1$  points of  $l'_\infty$  in  $\mathcal{P}(\mathcal{S}')$ . In  $\mathcal{P}(\mathcal{S}')$  we denote  $U'$  together with these  $q+1$  points as the set  $\bar{U}'$ . We denote the corresponding set in  $PG(4, q)$ , under the Bruck-Bose representation, as  $\bar{U}'$ .

We want to show that  $\bar{U}'$  is a unital of  $\mathcal{P}(\mathcal{S}')$ . So we want to show that every line of  $\mathcal{P}(\mathcal{S}')$  meets  $\bar{U}'$  in either 1 or  $q+1$  points. The line  $l'_\infty$  meets  $\bar{U}'$  in  $q+1$  points since  $l_\infty$  meets  $\bar{U}$  in  $q+1$  points.



Let  $l$  be a line of  $\mathcal{P}(\mathcal{S}')$  that meets  $l'_\infty$  in the point  $P$ . The point  $P$  corresponds to a line  $p$  of  $\mathcal{S}$ . If  $p$  is not a line of  $\hat{N}$ , then the points of  $l$  lie on a line of  $\mathcal{P}(\mathcal{S})$  and so  $l$  contains 1 or  $q + 1$  points of  $\overline{U}$ . Hence  $l$  contains 1 or  $q + 1$  points of  $\overline{U}'$ .

Suppose  $P$  does correspond to some line  $p$  of  $\hat{N}$ , then  $P \notin \overline{U}'$  since  $\overline{U} \cap P_N$  is empty. Now, using the Bruck-Bose correspondence, let  $\alpha$  be the plane of  $PG(4, q)$  corresponding to the line  $l$ , so  $\alpha \cap \Sigma_\infty = p$ . Now  $\alpha$  meets  $\overline{U}'$  in either a point, a line, a conic or two lines.

Suppose  $\alpha \cap \overline{U}'$  contains a line  $l_\alpha$ . Since  $\alpha$  is a plane through  $p$ ,  $l_\alpha$  meets  $p$  in a point. This implies  $p \cap \overline{U}'$  is not empty. In  $\mathcal{P}(\mathcal{S}')$ , this corresponds to  $P$  being a point of  $\overline{U}'$ , which is a contradiction. Hence  $\alpha$  meets  $\overline{U}'$  in either a point or a conic and thus, in  $\mathcal{P}(\mathcal{S}')$ ,  $l$  meets  $\overline{U}'$  in 1 or  $q + 1$  points. Hence  $\overline{U}'$  is a unital of  $\mathcal{P}(\mathcal{S}')$ .  $\square$

**Buekenhout-Metz unitals**

Let  $\overline{U}$  be an ovoidal-Buekenhout-Metz unital of  $PG(2, q^2)$ . That is,  $\overline{U}$  is tangent to  $l_\infty$  and corresponds to an ovoidal cone  $\overline{U}$  in the Bruck-Bose representation in  $PG(4, q)$  such that  $\overline{U}$  meets  $\Sigma_\infty$  in exactly one line  $p_\infty$  of the spread  $\mathcal{S}$ .

There are two possibilities for  $p_\infty$ . One case is that the line  $p_\infty$  is one of the lines of  $N$ , so  $p_\infty$  is contained in two reguli of  $N$ . The second case is that  $p_\infty$  is disjoint from the lines of  $N$ .

**Lemma 4.4** *If  $\overline{U}$  meets  $\mathcal{S}$  in one line  $p_\infty$  and  $p_\infty$  is a line of  $N$ , then  $U'$  can not be completed to a unital of  $\mathcal{P}(\mathcal{S}')$ .*

**Proof** As  $p_\infty$  is a line of  $N$ , it is contained in two reguli of  $N$ , say  $R_1$  and  $R_2$ . Let  $\hat{R}_1$  and  $\hat{R}_2$  be the opposite half-reguli in  $\hat{N}$  corresponding to  $R_1$  and  $R_2$  respectively. Let  $l$  be a line of either  $\hat{R}_1$  or  $\hat{R}_2$ . The line  $l$  meets  $p_\infty$  in one point. Let  $P$  be a point of  $\mathcal{U}$ . In  $PG(4, q)$  a plane meets an ovoidal cone in 1,  $q + 1$  or  $2q + 1$  points. Here, the plane  $\langle P, l \rangle$  meets  $\overline{U}$  in at least two points, hence it meets  $\overline{U}$  in  $q + 1$  or  $2q + 1$  points.

If  $\langle P, l \rangle$  meets  $\overline{U}$  in  $2q + 1$  points, then  $\langle P, l \rangle$  meets  $\mathcal{U}$  in  $2q$  points. If we replace the nest  $N$ , then the plane  $\langle P, l \rangle$  corresponds to a line  $l_p$  of  $\mathcal{P}(\mathcal{S}')$  which is a  $(2q)$ -secant to  $U'$ . So  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$  as every line of  $\mathcal{P}(\mathcal{S}')$  must intersect it in 1 or  $q + 1$  points.

Now, suppose  $\langle P, l \rangle$  meets  $\overline{U}$  in  $q + 1$  points, then  $\langle P, l \rangle$  meets  $\mathcal{U}$  in  $q$  points. If we replace the nest  $N$ , then  $\langle P, l \rangle$  corresponds to a line  $l_P$  that is a  $q$ -secant of  $U'$ . Each line of  $\mathcal{P}(\mathcal{S}')$  intersects a unital in either 1 or  $q + 1$  points. Hence, if we wish to complete  $U'$  to a unital of  $\mathcal{P}(\mathcal{S}')$ , we need to add the point  $l_p \cap l'_\infty$  to  $U'$ . This points corresponds to the line  $l$  of the spread  $\mathcal{S}$ .

There are  $\frac{q+1}{2}$  lines in both  $\hat{R}_1$  and  $\hat{R}_2$ , so there are  $q + 1$  different choices for  $l$ . Hence we need to add  $q + 1$  points of  $l'_\infty$  to  $U'$ . The affine set  $U'$  contains  $q^3$  points plus the extra  $q + 1$  points from  $l'_\infty$  which gives us  $q^3 + q + 1$  points. A unital has only  $q^3 + 1$  points, hence we can not complete  $U'$  to a unital in  $\mathcal{P}(\mathcal{S}')$ .  $\square$

**Lemma 4.5** *If  $\overline{U}$  meets  $\mathcal{S}$  in one line  $p_\infty$  and  $p_\infty$  is not a line of  $N$ , then  $U'$  can be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*

**Proof** This proof is similar to the proof given in Lemma 4.3. □

In summary, we have shown the following:

**Theorem 4.6** *Let  $\overline{U}$  be a unital of  $PG(2, q^2)$ , where  $q$  is odd. Let  $\mathcal{S}$  be a regular spread corresponding to  $PG(2, q^2) \cong \mathcal{P}(\mathcal{S})$  via the Bruck-Bose representation. Let  $N$  be a half-regulus replaceable  $t$ -nest in  $\mathcal{S}$ . Let  $P_N$  be the point set on  $l_\infty$  in  $\mathcal{P}(\mathcal{S})$  corresponding to the lines of  $N$ . Suppose we replace the  $t$ -nest  $N$  by  $\hat{N}$  to form the new spread  $\mathcal{S}' = (\mathcal{S} \setminus N) \cup \hat{N}$ . Let  $U'$  be the points of  $\mathcal{P}(\mathcal{S}')$  corresponding to the affine points of  $\overline{U}$ . Then we have,*

1. *If  $\overline{U}$  is a non-singular-Buekenhout unital of  $PG(2, q^2)$ , then*
  - (a) *if  $1 \leq |\overline{U} \cap P_N| \leq q + 1$ , then the point-set  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*
  - (b) *if  $|\overline{U} \cap P_N| = 0$  then the point-set  $U'$  can be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*
2. *If  $\overline{U}$  is an ovoidal-Buekenhout-Metz unital of  $PG(2, q^2)$ , then*
  - (a) *if  $\overline{U} \cap l_\infty \in P_N$ , then the point-set  $U'$  can not be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*
  - (b) *if  $\overline{U} \cap l_\infty \notin P_N$  then the point-set  $U'$  can be completed to a unital in  $\mathcal{P}(\mathcal{S}')$ .*

## 5 Conclusions

We have shown that some unitals of  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$  give rise to unitals of  $\mathcal{P}(\mathcal{S}')$ . We now consider the known unitals of  $\mathcal{P}(\mathcal{S}')$  and discuss whether they are inherited from unitals of  $\mathcal{P}(\mathcal{S})$ .

Using the Bruck-Bose representation, in  $PG(4, q)$ , through any line  $l$  in the spread  $\mathcal{S}$ , we can form ovoidal cones that meet  $\Sigma_\infty$  in the line  $l$ . In the new spread  $\mathcal{S}' = (\mathcal{S} \setminus N) \cup \hat{N}$ , any line of  $\hat{N}$  will give rise to ovoidal cones in  $PG(4, q)$  that do not meet  $\mathcal{S}$  in a line of the spread. These cones correspond to ovoidal-Buekenhout-Metz unitals in the corresponding plane  $\mathcal{P}(\mathcal{S}')$  that are not inherited from ovoidal-Buekenhout-Metz unitals in  $\mathcal{P}(\mathcal{S})$ .

The case for non-singular-Buekenhout unitals is more complex. We know that for a regulus  $R$  in the spread  $\mathcal{S}'$  there are non-singular quadrics of  $PG(4, q)$  that intersect  $\Sigma_\infty$  in the lines of  $R$ . We also know that such quadrics correspond to non-singular-Buekenhout unitals in  $\mathcal{P}(\mathcal{S}')$ , secant to  $l'_\infty$ , via the Bruck-Bose correspondence. So to examine non-singular-Buekenhout unitals in  $\mathcal{P}(\mathcal{S}')$  we need to examine the reguli in the new spread  $\mathcal{S}'$ .

In [17] there is the following result. Let  $R$  be a regulus contained in the spread  $\mathcal{S}' = (\mathcal{S} \setminus N) \cup \hat{N}$ . Then for  $q \geq 5$ ,  $R$  is not completely contained in  $\hat{N}$  whenever  $t \leq q$ . Further,  $R$  contains no lines of  $\hat{N}$  whenever  $t \leq q - 2$ .

For the case  $t \leq q - 2$ , there are no reguli of  $\mathcal{S}'$  that share lines with  $\hat{N}$ , hence there are no reguli in  $\mathcal{S}'$  that are not in  $\mathcal{S} \setminus N$ . We know from Theorem 4.6 that all non-singular-Buekenhout unitals in  $\mathcal{P}(\mathcal{S})$  that correspond to quadrics that meet  $\Sigma_\infty$  in a regulus of the line-set  $\mathcal{S} \setminus N$  are inherited in  $\mathcal{P}(\mathcal{S}')$ . This means there are no non-singular-Buekenhout unitals in  $\mathcal{P}(\mathcal{S}')$  that are not inherited from unitals in  $\mathcal{P}(\mathcal{S}) \cong PG(2, q^2)$ , since there are no reguli in  $\mathcal{S}'$  that are not contained in  $\mathcal{S} \setminus N$ .

When  $t \geq q - 1$ , there can exist a regulus  $R$  in  $\mathcal{S}'$  that is not a regulus of  $\mathcal{S} \setminus N$ . In [12], [17] there are examples of  $t$ -nests that, when replaced, give rise to such spreads. We know from Theorem 4.6 that all non-singular-Buekenhout unitals in  $\mathcal{P}(\mathcal{S})$  that correspond to quadrics that meet  $\Sigma_\infty$  in a regulus and that share lines with  $N$  can not be completed to unitals in  $\mathcal{P}(\mathcal{S}')$ . Hence there do exist spreads  $\mathcal{S}'$  that correspond to planes  $\mathcal{P}(\mathcal{S}')$  which contain non-singular Buekenhout unitals, not inherited from unitals of  $PG(2, q^2)$ . It is interesting to note that, in [4], it is shown that for the Hall plane  $\mathcal{H}(q^2)$  of order  $q^2$ , all non-singular-Buekenhout unitals of  $\mathcal{H}(q^2)$  are inherited from non-singular-Buekenhout unitals in  $PG(2, q^2)$ .

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