

# Vertex-disjoint copies of $K_4^-$ in graphs

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## Abstract

Let  $K_4^-$  denote the graph obtained from  $K_4$  by removing one edge. Let  $k$  be an integer with  $k \geq 2$ . Kawarabayashi conjectured that if  $G$  is a graph of order  $n \geq 4k + 1$  with  $\sigma_2(G) \geq n + k$ , then  $G$  has  $k$  vertex-disjoint copies of  $K_4^-$ . In this paper, we settle this conjecture affirmatively.

## 1 Introduction

In this paper, we consider only finite, simple, undirected graphs with no loops and no multiple edges. For a graph  $G$ , we denote by  $V(G)$  and  $E(G)$  the vertex set and the edge set of  $G$ , respectively. For a vertex  $x$  of a graph  $G$ , the neighborhood of  $x$  in  $G$  is denoted by  $N_G(x)$ , and we let  $d_G(x) := |N_G(x)|$ . For a noncomplete graph  $G$ , let  $\sigma_2(G) := \min\{d_G(x) + d_G(y) \mid xy \notin E(G)\}$ ; if  $G$  is a complete graph, let  $\sigma_2(G) := \infty$ . Let  $K_4^-$  be the graph obtained from  $K_4$  by removing one edge, and let  $S$  denote the graph obtained from  $K_4$  by removing two edges which have a common vertex.

For a given connected graph  $F$ , a spanning subgraph of a graph  $G$  is called an  $F$ -factor if all of its components are isomorphic to  $F$ . Thus the statement that  $G$  has an  $F$ -factor is equivalent to the statement that  $|V(G)|$  is a multiple of  $|V(F)|$  and  $G$  contains  $\frac{|V(G)|}{|V(F)|}$  vertex-disjoint copies of  $F$ . There are many results concerning degree conditions for the existence of vertex-disjoint copies of  $F$ . As for the existence of an  $F$ -factor, Alon and Yuster [1] proved the general result that under the assumption that  $|V(G)|$  is a multiple of  $|V(F)|$ , the condition that  $\delta(G) \geq (\frac{\chi(F)-1}{\chi(F)} + o(1))|V(G)|$  guarantees the existence of an  $F$ -factor in  $G$ , where  $\chi(F)$  denotes the chromatic number of  $F$  and  $o$  refers to order of magnitude as  $|V(G)|$  tends to infinity. In the case  $F = K_l$ , Hajnal and Szemerédi [3] proved that if  $|V(G)|$  is a multiple of  $l$  and  $\delta(G) \geq \frac{l-1}{l}|V(G)|$ , then  $G$  has a  $K_l$ -factor.

In this paper, we are concerned with conditions on  $\sigma_2(G)$  for the existence of vertex-disjoint subgraphs. As examples of results concerning such conditions, we mention that it is proved in Justesen [4] that a graph  $G$  of order at least  $3k$  with

$\sigma_2(G) \geq |V(G)| + k$  contains  $k$  vertex-disjoint triangles (see also Theorem 2 below), and it is proved in Enomoto [2] and in Wang [7] that a graph  $G$  of order at least  $3k$  with  $\sigma_2(G) \geq 4k - 1$  contains  $k$  vertex-disjoint cycles.

The main purpose of this paper is to prove the following theorem, which was conjectured by Kawarabayashi in [5] and [6].

**Theorem 1** *Let  $k$  be an integer with  $k \geq 2$ , and let  $G$  be a graph of order  $n \geq 4k + 1$  with  $\sigma_2(G) \geq n + k$ . Then  $G$  contains  $k$  vertex-disjoint copies of  $K_4^-$ .*

In Theorem 1, the condition on  $\sigma_2(G)$  is best possible in the following sense. Let  $k, n$  be integers with  $k \geq 2$  and  $n \geq 4k + 1$  such that  $n - k$  is odd, and let  $G = \overline{K_{k-1}} + (\overline{K_{\frac{n-k+1}{2}}} + \overline{K_{\frac{n-k+1}{2}}})$ . Then  $\sigma_2(G) = n + k - 1$ , but  $G$  contains at most  $k - 1$  vertex-disjoint triangles, and hence  $G$  does not contain  $k$  vertex-disjoint  $K_4^-$ . Note also that the conclusion of Theorem 1 does not hold when  $n = 4k$ . To see this, let  $k \geq 4$  be an integer and let  $l = \lceil \frac{8k-2}{3} \rceil$ , and let  $G = (K_1 \cup K_{l-1}) + \overline{K_{4k-l}}$ . Then  $\sigma_2(G) = 8k - l - 2 \geq 5k = |V(G)| + k$ , but  $G$  does not contain  $k$  vertex-disjoint  $K_4^-$ .

In the proof of Theorem 1, we make use of the following theorem.

**Theorem 2** ([6]) *Let  $k$  be an integer with  $k \geq 2$ , and let  $G$  be a graph of order  $n \geq 4k$  with  $\sigma_2(G) \geq n + k$ . Then  $G$  contains  $k$  vertex-disjoint copies of  $S$ .*

Our notation is standard except possibly for the following. Let  $G$  be a graph. For a subset  $A$  of  $V(G)$ , the subgraph induced by  $A$  is denoted by  $\langle A \rangle$ . For a subgraph  $H$  of  $G$ , we let  $G - H = \langle V(G) - V(H) \rangle$  and, for a vertex  $x$  of  $G$ , we let  $G - x = \langle V(G) - \{x\} \rangle$ . For disjoint subsets  $A$  and  $B$  of  $V(G)$ , we let  $E(A, B)$  denote the set of edges of  $G$  joining a vertex in  $A$  and a vertex in  $B$ . When  $A$  or  $B$  consists of a single vertex, say  $A = \{x\}$  or  $B = \{y\}$ , we write  $E(x, B)$  or  $E(A, y)$  for  $E(A, B)$ . For a subgraph  $H$  of  $G$  and for a vertex  $x$  of  $G$  with  $x \notin V(H)$ , we let  $N_H(x) = N_G(x) \cap V(H)$ ; thus  $|N_H(x)| = |E(x, V(H))|$ .

## 2 Preparation for the proof of Theorem 1

Let  $G$  be a graph of order  $n \geq 4k + 1$  with  $\sigma_2(G) \geq n + k$ . Since  $G$  has  $k$  vertex-disjoint copies of  $S$  by Theorem 2, we can choose  $k$  vertex-disjoint induced subgraphs  $S_1, \dots, S_k$  such that for each  $1 \leq i \leq k'$ ,  $S_i$  contains  $K_4^-$  as a spanning subgraph and, for each  $k' + 1 \leq i \leq k$ ,  $S_i \cong S(0 \leq k' \leq k)$ . Let  $H := \langle \cup_{i=1}^k V(S_i) \rangle$ . For  $i = 1, \dots, k$ , write  $V(S_i) = \{a_i, b_i, c_i, d_i\}$  so that  $d_{S_i}(a_i) \geq d_{S_i}(b_i) \geq d_{S_i}(c_i) \geq d_{S_i}(d_i)$ . Note that  $d_{S_i}(a_i) = d_{S_i}(b_i) = 3$  and  $d_{S_i}(c_i) = d_{S_i}(d_i) \geq 2$  for each  $1 \leq i \leq k'$ , and  $d_{S_i}(a_i) = 3, d_{S_i}(b_i) = d_{S_i}(c_i) = 2$  and  $d_{S_i}(d_i) = 1$  for each  $k' + 1 \leq i \leq k$ . If  $k' = k$ , then the desired conclusion holds. Thus we may assume that  $k' \leq k - 1$ . We may also assume that  $S_1, \dots, S_k$  are chosen so that

- (a)  $k'$  is maximum; and,
- (b) subject to (a),  $\sum_{j=1}^k |E(S_j)|$  is maximum.

We start with easy lemmas.

**Lemma 2.1.** *Let  $v \in V(G - H)$ .*

- (i) *For each  $i$  with  $S_i \cong K_4^-$ ,  $|E(v, V(S_i))| \leq 3$ , with equality only if  $|E(v, \{a_i, b_i\})| = 1$ .*
- (ii) *For each  $i$  with  $S_i \cong S$ ,  $|E(v, V(S_i))| \leq 2$ , with equality only if  $vd_i \in E(G)$ .*

**Proof.**

- (i) If  $|E(v, V(S_i))| \geq 3$  and  $a_i, b_i \in N_G(v)$ , then replacing  $S_i$  by  $\langle \{v, a_i, b_i, c_i\} \rangle$  or  $\langle \{v, a_i, b_i, d_i\} \rangle$ , we get a contradiction to the maximality of  $\sum_{j=1}^k |E(S_j)|$ .
- (ii) If  $|E(v, \{a_i, b_i, c_i\})| \geq 2$ , then replacing  $S_i$  by  $\langle \{v, a_i, b_i, c_i\} \rangle$ , we get a contradiction to the maximality of  $k'$ .  $\square$

For later reference, we restate the case  $i = k$  of Lemma 2.1(ii) in the following form (see the first paragraph of Section 3).

**Lemma 2.2.** *Let  $v \in V(G - H)$ . Then precisely one of the following six statements holds:*

- (1)  $N_{S_k}(v) = \{a_k\}$ ;
- (2)  $N_{S_k}(v) = \emptyset$ ;
- (3)  $N_{S_k}(v) = \{d_k\}$ ;
- (4)  $N_{S_k}(v) = \{b_k\}$  or  $N_{S_k}(v) = \{c_k\}$ ;
- (5)  $N_{S_k}(v) = \{b_k, d_k\}$  or  $N_{S_k}(v) = \{c_k, d_k\}$ ; or
- (6)  $N_{S_k}(v) = \{a_k, d_k\}$ .  $\square$

**Lemma 2.3.** *Let  $i$  be an integer with  $1 \leq i \leq k - 1$ . Let  $S', X$  be subgraphs of  $\langle V(S_i) \cup V(S_k) \cup V(G - H) \rangle$  such that  $S' \cong K_3$ ,  $X \cong K_4^-$  or  $K_4$ , and  $V(S') \cap V(X) = \emptyset$ . Then for each  $x \in (V(S_i) \cup V(S_k) \cup V(G - H)) - V(X) - V(S')$ ,  $|E(x, V(S'))| \leq 1$ .*

**Proof.** Suppose not. Then  $\langle \{x\} \cup V(S') \rangle \supset K_4^-$ . Hence by replacing  $S_i$  and  $S_k$  by  $X$  and  $\langle \{x\} \cup V(S') \rangle$ , respectively, we get a contradiction to the maximality of  $k'$ .  $\square$

**Lemma 2.4.** *Let  $v \in \{d_k\} \cup V(G - H)$ . Let  $1 \leq i \leq k - 1$ , and suppose that  $S_i \cong K_4^-$  and  $|E(v, V(S_i))| \geq 2$ . Then  $|E(c_i, \{a_k, b_k, c_k\})| \leq 2$  and  $|E(d_i, \{a_k, b_k, c_k\})| \leq 2$ . Further if  $N_G(v) \cap \{a_i, b_i\} \neq \emptyset$ , then  $|E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 3$ .*

**Proof.** If  $|E(c_i, \{a_k, b_k, c_k\})| = 3$ , then by replacing  $S_i$  and  $S_k$  by  $\langle \{v\} \cup V(S_i - c_i) \rangle$  and  $\langle \{c_i, a_k, b_k, c_k\} \rangle$ , respectively, we get a contradiction to the maximality of  $\sum_{j=1}^k |E(S_j)|$  because  $E(v, V(S_i - c_i)) \neq \emptyset$ . Thus  $|E(c_i, \{a_k, b_k, c_k\})| \leq 2$  and, by

symmetry, we similarly obtain  $|E(d_i, \{a_k, b_k, c_k\})| \leq 2$ . Now assume that  $N_G(v) \cap \{a_i, b_i\} \neq \emptyset$ . Then we have  $\langle \{v\} \cup V(S_i - c_i) \rangle \supset K_4^-$  or  $\langle \{v\} \cup V(S_i - d_i) \rangle \supset K_4^-$ . We may assume  $\langle \{v\} \cup V(S_i - d_i) \rangle \supset K_4^-$ . Then by applying Lemma 2.3 with  $S' = \{a_k, b_k, c_k\}$  and  $X = \langle \{v\} \cup V(S_i - d_i) \rangle$ , we obtain  $|E(c_i, \{a_k, b_k, c_k\})| \leq 1$ , which implies  $|E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 3$ .  $\square$

### 3 Proof of Theorem 1

We continue with the notation of the preceding section. For convenience, when (m) of Lemma 2.2 holds for a vertex  $v \in V(G - H)$ , we say that the preference index of  $v$  is  $m$ , and write  $\text{pr}(v) = m$ . Define  $\alpha = \max\{\text{pr}(v) \mid v \in V(G - H)\}$ . We henceforth assume that we have chosen  $S_1, \dots, S_k$  so that  $\alpha$  is as large as possible subject to conditions (a) and (b) stated at the end of the first paragraph of Section 2.

**Lemma 3.1.** *Let  $v \in \{d_k\} \cup V(G - H)$ . Let  $1 \leq i \leq k - 1$ , and suppose that  $S_i \cong K_4$ .*

- (i) *If  $|E(v, V(S_i))| \geq 3$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 4$ .*
- (ii) *If  $|E(v, V(S_i))| = 2$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 8$  and  $|E(V(S_i), \{b_k, c_k\})| \leq 6$ .*
- (iii) *If  $v \in V(G - H)$ ,  $|E(v, V(S_i))| = 4$  and  $\alpha \leq 2$ , then  $E(V(S_i), \{b_k, c_k, d_k\}) = \emptyset$ .*
- (iv) *If  $v \in V(G - H)$ ,  $|E(v, V(S_i))| = 3$  and  $\alpha \leq 2$ , then  $|E(x, \{a_k, b_k, c_k\})| \leq 1$  for each  $x \in N_{S_i}(v)$  and, for the vertex  $z$  in  $V(S_i) - N_G(v)$ , we have  $E(z, \{b_k, c_k, d_k\}) = \emptyset$  (so  $|E(V(S_i), \{b_k, c_k\})| \leq 3$ ).*

**Proof.** Assume that  $|E(v, V(S_i))| \geq 2$ . We first claim that  $|E(x, \{a_k, b_k, c_k\})| \leq 1$  for each  $x \in V(S_i)$  such that  $|E(v, V(S_i - x))| \geq 2$ . To see this, take  $x \in V(S_i)$  such that  $|E(v, V(S_i - x))| \geq 2$ . Then  $\langle \{v\} \cup V(S_i - x) \rangle \supset K_4^-$ . Hence by applying Lemma 2.3 with  $S' = \{a_k, b_k, c_k\}$  and  $X = \langle \{v\} \cup V(S_i - x) \rangle$ , we obtain  $|E(x, \{a_k, b_k, c_k\})| \leq 1$ , as claimed. Thus if  $|E(v, V(S_i))| \geq 3$ , then  $|E(x, \{a_k, b_k, c_k\})| \leq 1$  for each  $x \in V(S_i)$ , which proves (i) and the first assertion of (iv). Assume now that  $|E(v, V(S_i))| = 2$ . By symmetry, we may assume  $N_{S_i}(v) = \{a_i, b_i\}$ . Then by the above claim,  $|E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 2$ . Therefore, we obtain

$$|E(V(S_i), \{a_k, b_k, c_k\})| = |E(\{a_i, b_i\}, \{a_k, b_k, c_k\})| + |E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 6 + 2 = 8$$

and, since we clearly have  $|E(\{c_i, d_i\}, \{b_k, c_k\})| \leq |E(\{c_i, d_i\}, \{a_k, b_k, c_k\})|$ , we also obtain  $|E(V(S_i), \{b_k, c_k\})| = |E(\{a_i, b_i\}, \{b_k, c_k\})| + |E(\{c_i, d_i\}, \{b_k, c_k\})| \leq 4 + 2 = 6$ . Thus (ii) holds. Finally assume that  $v \in V(G - H)$ ,  $|E(v, V(S_i))| \geq 3$  and  $\alpha \leq 2$ , and take  $z \in V(S_i)$  such that  $|E(v, V(S_i - z))| = 3$ . If  $E(z, \{b_k, c_k, d_k\}) \neq \emptyset$ , then replacing  $S_i$  by  $\langle \{v\} \cup V(S_i - z) \rangle$  and  $v$  by  $z$ , we get a contradiction to the maximality of  $\alpha$ . Thus  $E(z, \{b_k, c_k, d_k\}) = \emptyset$ , which proves (iii) and the second assertion of (iv).  $\square$

**Lemma 3.2.** *Let  $v \in V(G - H)$ . Let  $1 \leq i \leq k - 1$ , and suppose that  $S_i \cong K_4^-$  and  $|E(v, V(S_i))| \geq 3$ . Then the following hold.*

- (i) *We have  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 6$  and  $|E(V(S_i), \{b_k, c_k\})| \leq 5$ .*
- (ii) *If  $\alpha \leq 4$ , then  $|E(V(S_i), V(S_k))| \leq 7$ .*
- (iii) *If  $\alpha \leq 2$ , then  $|E(u, V(S_i))| \leq 1$  for each  $u \in \{b_k, c_k, d_k\}$ .*

**Proof.** By Lemma 2.1(i) and by symmetry, we may assume  $N_{S_i}(v) = \{a_i, c_i, d_i\}$ . Then for each  $x \in \{b_i, c_i, d_i\}$ , we get  $|E(x, \{a_k, b_k, c_k\})| \leq 1$  by applying Lemma 2.3 with  $S' = \langle \{a_k, b_k, c_k\} \rangle$  and  $X = \langle \{v\} \cup V(S_i - x) \rangle$ . Hence we may deduce that  $|E(\{b_i, c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 3$ . Consequently,

$$|E(V(S_i), \{a_k, b_k, c_k\})| \leq |E(a_i, \{a_k, b_k, c_k\})| + |E(\{b_i, c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 3 + 3 = 6$$

and, since we clearly have  $|E(\{b_i, c_i, d_i\}, \{b_k, c_k\})| \leq |E(\{b_i, c_i, d_i\}, \{a_k, b_k, c_k\})|$ , we similarly obtain  $|E(V(S_i), \{b_k, c_k\})| \leq 2 + 3 = 5$ . Now assume that  $\alpha \leq 4$ . Take  $x \in \{b_i, c_i, d_i\}$ . If  $|E(x, V(S_k))| \geq 2$ , then replacing  $S_i$  by  $\langle \{v\} \cup V(S_i - x) \rangle$  and  $v$  by  $x$ , we get a contradiction to the maximality of  $\alpha$ . Thus  $|E(x, V(S_k))| \leq 1$  for each  $x \in \{b_i, c_i, d_i\}$ , and hence  $|E(V(S_i), V(S_k))| \leq 4 + 3 = 7$ . Finally assume that  $\alpha \leq 2$ , and let  $u \in \{b_k, c_k, d_k\}$ . Take  $x \in \{b_i, c_i, d_i\}$ . If  $xu \in E(G)$ , then as above, we get a contradiction to the maximality of  $\alpha$ . Thus  $xu \notin E(G)$  for each  $x \in \{b_i, c_i, d_i\}$ , which implies  $|E(u, V(S_i))| \leq 1$ .  $\square$

Now fix  $v \in V(G - H)$  such that  $\text{pr}(v) = \alpha$ . We divide the proof of Theorem 1 into four cases according to the value of  $\alpha = \text{pr}(v)$ .

Case 1: The case where  $N_{S_k}(v) = \{a_k, d_k\}$  (i.e.,  $\alpha = 6$ )

**Lemma 3.3.** *For each  $i$  with  $1 \leq i \leq k - 1$ ,  $|E(\{b_k, c_k, d_k, v\}, V(S_i))| \leq 10$ .*

**Proof.** By way of contradiction, suppose that

$$|E(\{b_k, c_k, d_k, v\}, V(S_i))| \geq 11. \tag{A}$$

We consider three subcases separately according as  $S_i \cong K_4, K_4^-$  or  $S$ .

Subcase 1:  $S_i \cong K_4$ .

We first claim that if  $N_{S_i}(d_k) \cap N_{S_i}(v) \neq \emptyset$ , then  $|E(x, V(S_i - y))| \leq 1$  for each  $y \in N_{S_i}(d_k) \cap N_{S_i}(v)$  and each  $x \in \{b_k, c_k\}$ . To see this, let  $y \in N_{S_i}(d_k) \cap N_{S_i}(v)$  and  $x \in \{b_k, c_k\}$ . Then  $\langle \{y, a_k, d_k, v\} \rangle \supset K_4^-$ . Hence applying Lemma 2.3 with  $S' = S - y$  and  $X = \langle \{y, a_k, d_k, v\} \rangle$ , we obtain  $|E(x, V(S_i - y))| \leq 1$ , as claimed. Now suppose that  $N_{S_i}(b_k) \cap N_{S_i}(c_k) \neq \emptyset$  and  $N_{S_i}(d_k) \cap N_{S_i}(v) \neq \emptyset$ . Then by the above claim,  $|E(x, V(S_i))| \leq 2$  for each  $x \in \{b_k, c_k\}$  and, by the symmetry of the roles of  $\{b_k, c_k\}$  and  $\{d_k, v\}$ , we similarly obtain  $|E(x, V(S_i))| \leq 2$  for each  $x \in \{d_k, v\}$ . Hence  $|E(\{b_k, c_k, d_k, v\}, V(S_i))| \leq 8$ , which contradicts (A). Thus we have  $N_{S_i}(b_k) \cap N_{S_i}(c_k) = \emptyset$  or  $N_{S_i}(d_k) \cap N_{S_i}(v) = \emptyset$ . We may assume

$N_{S_i}(b_k) \cap N_{S_i}(c_k) = \emptyset$ . Then  $|N_{S_i}(b_k)| + |N_{S_i}(c_k)| \leq 4$ , and hence  $|N_{S_i}(d_k)| + |N_{S_i}(v)| \geq 7$  by (A), which implies  $|N_{S_i}(d_k) \cap N_{S_i}(v)| \geq 3$ . Therefore it follows from the claim made at the beginning of this subcase that  $|E(x, V(S_i))| \leq 1$  for each  $x \in \{b_k, c_k\}$ , and hence  $|E(\{b_k, c_k\}, V(S_i))| \leq 2$ . Consequently  $|E(b_k, c_k, d_k, v), V(S_i)| = |E(\{b_k, c_k\}, V(S_i))| + |E(\{d_k, v\}, V(S_i))| \leq 2 + 8$ , which contradicts (A).

Subcase 2:  $S_i \cong K_4^-$ .

By (A) and Lemma 2.1 and by symmetry, we may assume that  $|E(v, V(S_i))| = |E(b_k, V(S_i))| = 3$ . Then  $|E(\{b_k, c_k\}, V(S_i))| \leq 5$  by Lemma 3.2(i) and, by symmetry, we similarly obtain  $|E(\{v, d_k\}, V(S_i))| \leq 5$ . Thus,  $|E(\{b_k, c_k, d_k, v\}, V(S_i))| \leq 10$ , which contradicts (A).

Subcase 3:  $S_i \cong S$ .

By Lemma 2.1 and by symmetry,  $|E(x, V(S_i))| \leq 2$  for each  $x \in \{b_k, c_k, d_k, v\}$ , which implies that  $|E(\{b_k, c_k, d_k, v\}, V(S_i))| \leq 8$ , a contradiction.  $\square$

Now for each  $x \in V(G - H - v)$ , Lemma 2.1(ii) implies that  $|E(\{b_k, c_k\}, x)| \leq 1$ , and we also have  $|E(\{d_k, v\}, x)| \leq 1$  by symmetry. Hence by Lemma 3.3,  $d_G(b_k) + d_G(c_k) + d_G(d_k) + d_G(v) \leq 10(k-1) + 2\{n - (4k+1)\} + 8 = 2n + 2k - 4$ . On the other hand,  $d_G(b_k) + d_G(c_k) + d_G(d_k) + d_G(v) \geq 2\sigma_2(G) \geq 2n + 2k$ , which is a contradiction. This completes the proof for Case 1.

Case 2: The case where  $N_{S_k}(v) = \{b_k, d_k\}, \{c_k, d_k\}, \{b_k\}, \{c_k\}$  or  $\{d_k\}$  (i.e.,  $3 \leq \alpha \leq 5$ )

By the symmetry of the roles of  $b_k$  and  $c_k$ , we may assume  $N_{S_k}(v) = \{b_k, d_k\}, \{b_k\}$  or  $\{d_k\}$ .

**Lemma 3.4.** *For each  $i$  with  $1 \leq i \leq k-1$ ,  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 15$ .*

**Proof.** By way of contradiction, suppose that

$$2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \geq 16. \quad (\text{B})$$

As in Lemma 3.3, we consider three subcases separately.

Subcase 1:  $S_i \cong K_4$ .

Suppose that  $|E(v, V(S_i))| \geq 3$  or  $|E(d_k, V(S_i))| \geq 3$ . Then by Lemma 3.1(i),  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 4$ . By assumption (B), this implies that  $|E(v, V(S_i))| = 4$ ,  $|E(V(S_i), \{a_k, b_k, c_k\})| = 4$  and  $|E(d_k, V(S_i))| = 4$ . From  $|E(V(S_i), \{a_k, b_k, c_k\})| = 4$ , we see that there exist  $x, y \in V(S_i)$  such that  $N_G(x) \cap \{a_k, b_k, c_k\} \cap N_G(y) \neq \emptyset$ . Now by replacing  $S_i$  by  $\langle V(S_i - x) \cup \{v\} \rangle$  and  $v$  by  $x$ , we see from the maximality of  $\alpha$  that  $\alpha = 5$ , that is to say,  $N_{S_k}(v) = \{b_k, d_k\}$ . This implies  $\langle \{d_k, v\} \cup (V(S_i) - \{x, y\}) \rangle \cong K_4$ . Consequently by replacing  $S_i, S_k$  and  $v$  by  $\langle \{d_k, v\} \cup (V(S_i) - \{x, y\}) \rangle$ ,  $\langle \{x, b_k, c_k, a_k\} \rangle$  and  $y$ , we get a contradiction to the maximality of  $\alpha$ . Thus  $|E(v, V(S_i))| \leq 2$  and  $|E(d_k, V(S_i))| \leq 2$ . If  $|E(v, V(S_i))| = 2$  or  $|E(d_k, V(S_i))| = 2$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 8$  by Lemma 3.1(ii), and

hence  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 4 + 2 + 8 = 14$ , a contradiction. Thus  $|E(v, V(S_i))| \leq 1$  and  $|E(d_k, V(S_i))| \leq 1$ . Consequently,  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 2 + 1 + 12 = 15$ , which contradicts (B).

Subcase 2:  $S_i \cong K_4^-$ .

By Lemma 2.1,  $|E(v, V(S_i))| \leq 3$ . We divide the proof into two subcases according as  $b_k \notin N_{S_k}(v)$  or  $b_k \in N_{S_k}(v)$ .

Subcase 2.1:  $N_{S_k}(v) = \{d_k\}$ .

First let  $|E(v, V(S_i))| = 3$ . Then by Lemma 3.2(ii),  $|E(V(S_i), \{a_k, b_k, c_k, d_k\})| \leq 7$ . Consequently,  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 6 + 7$ , which contradicts (B).

Next assume that  $|E(v, V(S_i))| = 2$ . If  $|E(v, \{a_i, b_i\})| = 2$ , then arguing as in the proof of Lemma 3.2(ii), we see from the maximality of  $\alpha$  that  $|E(\{c_i, d_i\}, V(S_k))| \leq 2$ , and hence  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 4 + 8 + 2 = 14$ , a contradiction. Thus  $|E(v, \{a_i, b_i\})| \leq 1$ . Assume for the moment that  $|E(v, \{a_i, b_i\})| = 1$ , say,  $va_i, vc_i \in E(G)$ . Then by the maximality of  $\alpha$ ,  $|E(d_i, V(S_k))| \leq 1$ . If  $|E(b_i, V(S_k))| \geq 3$ , then replacing  $S_i, S_k$  and  $v$  by  $\langle \{b_i, a_k, b_k, c_k\} \rangle$ ,  $\langle \{a_i, c_i, d_i, v\} \rangle$  and  $d_k$ , respectively, we get a contradiction to the maximality of  $\alpha$ . Thus we also have  $|E(b_i, V(S_k))| \leq 2$ . Consequently,  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 4 + 8 + 2 + 1 = 15$ , a contradiction.

Thus we are reduced to the case where  $N_{S_i}(v) = \{c_i, d_i\}$ . Suppose that  $N_G(d_k) \cap \{a_i, b_i\} \neq \emptyset$ . Then we see from the maximality of  $\alpha$  that  $|E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 2$ , and hence  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 4 + 8 + 2 + 2 = 16$ . In view of (B), this implies that  $|E(d_k, \{c_i, d_i\})| = 2$  and  $|E(\{a_k, b_k, c_k, d_k\}, \{a_i, b_i\})| = 8$ . Consequently replacing  $S_i$  and  $S_k$  by  $\langle \{v, d_k, c_i, d_i\} \rangle$  and  $\langle \{b_i, a_k, b_k, c_k\} \rangle$ , we get a contradiction to the maximality of  $k'$ . Thus  $N_G(d_k) \cap \{a_i, b_i\} = \emptyset$ , which implies that  $|E(d_k, V(S_i))| = |E(d_k, \{c_i, d_i\})| \leq 2$ . Since we are assuming  $N_{S_i}(v) = \{c_i, d_i\}$ , we also have  $|E(\{c_i, d_i\}, \{a_k, b_k, c_k\})| \leq 4$  by Lemma 2.4. By (B), it follows that  $|E(d_k, \{c_i, d_i\})| = 2$  and  $|E(\{a_k, b_k, c_k\}, \{a_i, b_i\})| = 6$ . Consequently by replacing  $S_i$  and  $S_k$  by  $\langle \{v, d_k, c_i, d_i\} \rangle$  and  $\langle \{b_i, a_k, b_k, c_k\} \rangle$ , respectively, we get a contradiction to the maximality of  $k'$ . This concludes the discussion for the case where  $|E(v, V(S_i))| = 2$ .

Finally assume  $|E(v, V(S_i))| \leq 1$ . If  $|E(c_i, \{a_k, b_k, c_k\})| = 3$  or  $|E(d_i, \{a_k, b_k, c_k\})| = 3$ , then  $|E(d_k, V(S_i))| \leq 1$  by Lemma 2.4, and hence

$$2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 2 + 1 + 12 = 15,$$

a contradiction. Thus  $|E(c_i, \{a_k, b_k, c_k\})| \leq 2$  and  $|E(d_i, \{a_k, b_k, c_k\})| \leq 2$ . By (B), this implies that  $|E(d_k, V(S_i))| = 4$  and  $|E(c_i, \{a_k, b_k, c_k\})| = 2$ . Therefore replacing  $S_i$  and  $S_k$  by  $\langle \{d_k, a_i, b_i, d_i\} \rangle$  and  $\langle \{c_i, a_k, b_k, c_k\} \rangle$ , we get a contradiction to the maximality of  $k'$ .

Subcase 2.2:  $N_{S_k}(v) = \{b_k, d_k\}$  or  $N_{S_k}(v) = \{b_k\}$ .

By the symmetry of  $v$  and  $d_k$  in  $\langle V(S_k) \cup \{v\} \rangle$ , we have  $|E(d_k, V(S_i))| \leq 3$  by Lemma 2.1. If  $|E(v, V(S_i))| = 3$  or  $|E(d_k, V(S_i))| = 3$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 6$  by Lemma 3.2(i), and hence  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 6 +$

$3 + 6 = 15$ , a contradiction. Thus  $|E(v, V(S_i))| \leq 2$  and  $|E(d_k, V(S_i))| \leq 2$ . Hence by (B),  $|E(V(S_i), \{a_k, b_k, c_k\})| \geq 10$ . Now if  $|E(c_i, \{a_k, b_k, c_k\})| = 3$  or  $|E(d_i, \{a_k, b_k, c_k\})| = 3$ , then  $|E(v, V(S_i))| \leq 1$  and  $|E(d_k, V(S_i))| \leq 1$  by Lemma 2.4, and hence  $2|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 3 + 12 = 15$ , a contradiction. Thus  $|E(c_i, \{a_k, b_k, c_k\})| \leq 2$  and  $|E(d_i, \{a_k, b_k, c_k\})| \leq 2$ . Consequently  $|E(c_i, \{a_k, b_k, c_k\})| = 2$ ,  $|E(d_i, \{a_k, b_k, c_k\})| = 2$ ,  $|E(\{a_i, b_i\}, \{a_k, b_k, c_k\})| = 6$  and  $|E(v, V(S_i))| = |E(d_k, V(S_i))| = 2$ . If  $va_i \in E(G)$ , we get a contradiction to the maximality of  $k'$  by replacing  $S_i$  and  $S_k$  either by  $\langle \{a_i, b_i, c_i, v\} \rangle$  and  $\langle \{a_k, b_k, c_k, d_i\} \rangle$  or by  $\langle \{a_i, b_i, d_i, v\} \rangle$  and  $\langle \{a_k, b_k, c_k, c_i\} \rangle$ . Thus  $va_i \notin E(G)$ . Similarly  $vb_i \notin E(G)$ . Hence  $N_{S_i}(v) = \{c_i, d_i\}$ . By symmetry, we similarly obtain  $N_{S_i}(d_k) = \{c_i, d_i\}$ . Since  $|E(c_i, \{a_k, b_k, c_k\})| = 2$ , we have  $c_i a_k \in E(G)$  or  $c_i b_k \in E(G)$ . By the symmetry of  $a_k$  and  $b_k$  in  $\langle V(S_k) \cup \{v\} \rangle$ , we may assume  $c_i b_k \in E(G)$ . But then replacing  $S_i$  and  $S_k$  by  $\langle \{a_i, c_i, b_k, v\} \rangle$  and  $\langle \{b_i, d_i, a_k, c_k\} \rangle$ , we obtain a contradiction to the maximality of  $k'$ .

Subcase 3:  $S_i \cong S$ .

First assume that  $|E(v, V(S_i))| \leq 1$ . Then by (B),  $|E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \geq 14$ . If  $|E(d_k, \{a_i, b_i, c_i\})| + |E(d_i, \{a_k, b_k, c_k\})| \geq 4$ , then  $E(d_k, \{a_i, b_i, c_i\}) \neq \emptyset$  and  $E(d_i, \{a_k, b_k, c_k\}) \neq \emptyset$  and we have  $|E(d_k, \{a_i, b_i, c_i\})| \geq 2$  or  $|E(d_i, \{a_k, b_k, c_k\})| \geq 2$ , and hence we get a contradiction to the maximality of  $k'$  by replacing  $S_i$  and  $S_k$  by  $\langle \{a_i, b_i, c_i, d_k\} \rangle$  and  $\langle \{a_k, b_k, c_k, d_i\} \rangle$ . Thus  $|E(d_k, \{a_i, b_i, c_i\})| + |E(d_i, \{a_k, b_k, c_k\})| \leq 3$ . Hence  $|E(V(S_k), V(S_i))| = |E(d_k, d_i)| + (|E(d_k, \{a_i, b_i, c_i\})| + |E(\{a_k, b_k, c_k\}, d_i)|) + |E(\{a_k, b_k, c_k\}, \{a_i, b_i, c_i\})| \leq 1 + 3 + 9$ , which contradicts the earlier assertion that  $|E(V(S_k), V(S_i))| \geq 14$ . Next assume that  $|E(v, V(S_i))| \geq 2$ . By the maximality of  $\alpha$ , this forces  $|E(v, V(S_i))| = 2$ ,  $N_{S_k}(v) = \{b_k, d_k\}$ ,  $d_i \in N_{S_i}(v)$  and  $|\{b_i, c_i\} \cap N_{S_i}(v)| = 1$ . Hence applying Lemma 2.1 with the roles of  $v$  and  $d_k$  interchanged we obtain  $|E(d_k, \{a_i, b_i, c_i\})| \leq 1$ . Further applying Lemma 2.1 to  $S_k$  with the roles of  $v$  and  $d_i$  interchanged, we obtain  $|E(d_i, \{a_k, b_k, c_k\})| \leq 1$ . Consequently  $|E(V(S_k), V(S_i))| = |E(d_k, d_i)| + |E(d_k, \{a_i, b_i, c_i\})| + |E(\{a_k, b_k, c_k\}, d_i)| + |E(\{a_k, b_k, c_k\}, \{a_i, b_i, c_i\})| \leq 1 + 1 + 1 + 9$ . In view of (B), this forces  $d_k d_i \in E(G)$  and  $|E(\{a_k, b_k, c_k\}, \{a_i, b_i, c_i\})| = 9$ . Therefore  $\langle \{a_i, d_i, v, d_k\} \rangle \supset S$  and  $\langle \{b_i, a_k, b_k, c_k\} \rangle \cong K_4$ , and hence we get a contradiction to the maximality of  $k'$  by replacing  $S_i$  and  $S_k$  by  $\langle \{a_i, d_i, v, d_k\} \rangle$  and  $\langle \{b_i, a_k, b_k, c_k\} \rangle$ .  $\square$

For each  $x \in V(G - H - v)$ ,  $2|E(v, x)| + |E(\{a_k, b_k, c_k, d_k\}, x)| \leq 4$  by Lemma 2.1 and, if equality holds, then  $d_k, v \in N_G(x)$  by Lemma 2.1, and  $N_{S_k}(v) = \{b_k, d_k\}$  (and  $|N_G(x) \cap \{b_k, c_k\}| = 1$ ) by the maximality of  $\text{pr}(v)$ . Thus if there exist two vertices  $x, y \in V(G - H - v)$  such that  $2|E(v, x)| + |E(\{a_k, b_k, c_k, d_k\}, x)| = 2|E(v, y)| + |E(\{a_k, b_k, c_k, d_k\}, y)| = 4$ , then by replacing  $S_k$  by  $\langle \{x, y, v, d_k\} \rangle$ , we get a contradiction to the maximality of  $k'$ . Consequently, by Lemma 3.4,  $2d_G(v) + d_G(a_k) + d_G(b_k) + d_G(c_k) + d_G(d_k) \leq 15(k-1) + 3(n-4k-1) + 1 + 14 = 3n + 3k - 3$ . On the other hand, by the assumption that  $\sigma_2(G) \geq n + k$ ,  $2d_G(v) + d_G(a_k) + d_G(b_k) + d_G(c_k) + d_G(d_k) \geq 3n + 3k$ . This is a contradiction, and this completes the proof for Case 2.

Case 3: The case where  $N_{S_k}(v) = \emptyset$  (i.e.,  $\alpha = 2$ )



**Lemma 3.5.** *For each  $i$  with  $1 \leq i \leq k-1$ ,*

$$4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 20.$$

**Proof.** By way of contradiction, suppose that

$$4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \geq 21. \quad (C)$$

Then  $|E(v, V(S_i))| \geq 2$ . By the maximality of  $\alpha$ , this implies that  $S_i \not\cong S$ .

Subcase 1:  $S_i \cong K_4$ .

If  $|E(v, V(S_i))| = 4$ , then by Lemma 3.1(iii),  $E(\{b_k, c_k, d_k\}, V(S_i)) = \emptyset$ , which implies that  $4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 16 + 4$ , a contradiction. If  $|E(v, V(S_i))| = 3$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 4$  by Lemma 3.1(i), and hence  $4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 12 + 4 + 4 = 20$ , a contradiction. Finally if  $|E(v, V(S_i))| = 2$ , then  $|E(V(S_i), \{a_k, b_k, c_k\})| \leq 8$  by Lemma 3.1(ii), and hence  $4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 8 + 4 + 8 = 20$ , a contradiction.

Subcase 2:  $S_i \cong K_4^-$ .

By Lemma 2.1,  $|E(v, V(S_i))| \leq 3$ . If  $|E(v, V(S_i))| = 3$ , then by Lemma 3.2(ii),  $|E(V(S_i), \{a_k, b_k, c_k, d_k\})| \leq 7$  and hence

$$4|E(v, V(S_i))| + |E(\{a_k, b_k, c_k, d_k\}, V(S_i))| \leq 12 + 7 = 19,$$

a contradiction. Thus  $|E(v, V(S_i))| = 2$ . By Lemma 2.4,  $|E(c_i, \{a_k, b_k, c_k\})| \leq 2$  and  $|E(d_i, \{a_k, b_k, c_k\})| \leq 2$ . Since we clearly have  $|E(\{a_i, b_i\}, \{a_k, b_k, c_k\})| \leq 6$ , this together with (C) implies that we have  $|E(c_i, \{a_k, b_k, c_k\})| = 2$  or  $|E(d_i, \{a_k, b_k, c_k\})| = 2$ , and  $|E(d_k, V(S_i))| \geq 3$ . By the symmetry of the roles of  $c_i$  and  $d_i$ , we may assume  $|E(c_i, \{a_k, b_k, c_k\})| = 2$ . Then replacing  $S_i$  and  $S_k$  by  $\langle \{d_k, a_i, b_i, d_i\} \rangle$  and  $\langle \{c_i, a_k, b_k, c_k\} \rangle$ , we get a contradiction to the maximality of  $k'$ .  $\square$

Let  $x \in V(G - H - v)$ . By the maximality of  $\text{pr}(v)$ ,  $N_{S_k}(x) \subset \{a_k\}$ . Further if  $xa_k, xv \in E(G)$ , then by replacing  $S_k$  by  $\langle \{x\} \cup V(S_k - d_k) \rangle$ , we get a contradiction to the maximality of  $\alpha$ . Hence  $4|E(v, x)| + |E(\{a_k, b_k, c_k, d_k\}, x)| \leq 4$ . Consequently, by Lemma 3.5,  $4d_G(v) + d_G(a_k) + d_G(b_k) + d_G(c_k) + d_G(d_k) \leq 20(k-1) + 4(n-4k-1) + 8 = 4n + 4k - 16$ . On the other hand, by the assumption that  $\sigma_2(G) \geq n + k$ ,  $4d_G(v) + d_G(a_k) + d_G(b_k) + d_G(c_k) + d_G(d_k) \geq 4n + 4k$ . This is a contradiction, and this completes the proof for Case 3.

Case 4: The case where  $N_{S_k}(v) = \{a_k\}$  (i.e.,  $\alpha = 1$ )

**Lemma 3.6.** *For each  $i$  with  $1 \leq i \leq k-1$ ,  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 16$ , and equality holds only if  $S_i \cong K_4^-$ ,  $N_{S_i}(v) = N_{S_i}(d_k) = \{c_i, d_i\}$ ,  $N_G(b_k) \supset V(S_i)$  and  $N_G(c_k) \supset V(S_i)$ .*

**Proof.** Suppose that

$$2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \geq 16. \quad (D)$$

Then we have  $|E(v, V(S_i))| \geq 2$  or  $|E(d_k, V(S_i))| \geq 2$ . By the symmetry of the roles of  $v$  and  $d_k$ , we may assume that  $|E(v, V(S_i))| \geq 2$ . Then by the maximality of  $\alpha$ ,  $S_i \not\cong S$ .

Subcase 1:  $S_i \cong K_4$ .

If  $|E(v, V(S_i))| = 4$ , then  $E(V(S_i), \{b_k, c_k, d_k\}) = \emptyset$  by Lemma 3.1(iii), and hence  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 8$ , a contradiction. Thus  $|E(v, V(S_i))| \leq 3$  and, by symmetry, we similarly obtain  $|E(d_k, V(S_i))| \leq 3$ . If  $|E(v, V(S_i))| = 3$ , then  $|E(V(S_i), \{b_k, c_k\})| \leq 3$  by Lemma 3.1(iv), and hence  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 12 + 3 = 15$ , a contradiction. Thus  $|E(v, V(S_i))| = 2$ , and we similarly obtain  $|E(d_k, V(S_i))| \leq 2$ . Now by Lemma 3.1(ii),  $|E(V(S_i), \{b_k, c_k\})| \leq 6$ , and hence  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 8 + 6 = 14$ , a contradiction.

Subcase 2:  $S_i \cong K_4^-$ .

By Lemma 2.1,  $|E(v, V(S_i))| \leq 3$ . By symmetry, we also have  $|E(d_k, V(S_i))| \leq 3$ . If  $|E(v, V(S_i))| = 3$ , then  $|E(V(S_i), \{b_k, c_k\})| \leq 2$  by Lemma 3.2(iii), and hence  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 12 + 2 = 14$ , a contradiction. Thus  $|E(v, V(S_i))| = 2$ . By symmetry, we similarly obtain  $|E(d_k, V(S_i))| \leq 2$ . In view of (D), this implies that  $|E(d_k, V(S_i))| = 2$ ,  $N_G(b_k) \supseteq V(S_i)$  and  $N_G(c_k) \supseteq V(S_i)$ . If  $N_G(v) \cap \{a_i, b_i\} \neq \emptyset$ , then  $|E(\{c_i, d_i\}, \{b_k, c_k\})| \leq 3$  by Lemma 2.4, which contradicts the assertion that  $N_G(b_k) \supseteq V(S_i)$  and  $N_G(c_k) \supseteq V(S_i)$ . Thus  $N_{S_i}(v) = \{c_i, d_i\}$ , and we similarly obtain  $N_{S_i}(d_k) = \{c_i, d_i\}$ .  $\square$

Note that for each  $x \in V(G - H - v)$ ,  $N_G(x) \cap \{v, b_k, c_k, d_k\} = \emptyset$  by the maximality of  $\text{pr}(v)$ . Suppose that  $2|E(\{v, d_k\}, V(S_i))| + |E(\{b_k, c_k\}, V(S_i))| \leq 15$  for each  $i$  with  $1 \leq i \leq k-1$ . Then  $2(d_G(v) + d_G(d_k)) + d_G(b_k) + d_G(c_k) \leq 15(k-1) + 8 = 15k - 7$ . On the other hand, by the assumption that  $\sigma_2(G) \geq n + k$ ,  $2(d_G(v) + d_G(d_k)) + d_G(b_k) + d_G(c_k) \geq 3(n+k) \geq 15k + 3$ . This is a contradiction. Thus we may assume that  $2|E(\{v, d_k\}, V(S_1))| + |E(\{b_k, c_k\}, V(S_1))| \geq 16$ . Then by Lemma 3.6,  $2|E(\{v, d_k\}, V(S_1))| + |E(\{b_k, c_k\}, V(S_1))| = 16$ ,  $S_1 \cong K_4^-$ ,  $N_{S_1}(v) = N_{S_1}(d_k) = \{c_1, d_1\}$ ,  $N_G(b_k) \supset V(S_1)$  and  $N_G(c_k) \supset V(S_1)$ . If  $a_k c_1 \in E(G)$ , then by replacing  $S_1$  and  $S_k$  by  $\{v, c_1, a_k, d_k\}$  and  $\{b_k, a_1, b_1, d_1\}$ , we get a contradiction to the maximality of  $k'$ . Thus  $a_k c_1 \notin E(G)$ . Now we prove the following lemma.

**Lemma 3.7.** *For each  $i$  with  $2 \leq i \leq k-1$ ,*

$$2|E(\{v, d_k\}, V(S_i))| + |E(\{a_k, c_1\}, V(S_i))| \leq 15.$$

**Proof.** By way of contradiction, suppose that

$$2|E(\{v, d_k\}, V(S_i))| + |E(\{a_k, c_1\}, V(S_i))| \geq 16. \quad (\text{E})$$

By the symmetry of the roles of  $v$  and  $d_k$ , we may assume that  $|E(v, V(S_i))| \geq |E(d_k, V(S_i))|$ .

Subcase 1:  $S_i \cong K_4$ .

First we consider the case  $|E(v, V(S_i))| = 4$ . By Lemma 3.1(iii),  $E(d_k, V(S_i)) = \emptyset$ . In view of (E), this forces  $|E(a_k, V(S_i))| = 4$  and  $|E(c_1, V(S_i))| = 4$ . Hence by replacing  $S_1, S_i$  and  $S_k$  by  $\{\{a_1, b_1, b_k, c_k\}\}, \{\{c_1, a_i, b_i, c_i\}\}$  and  $\{\{d_i, v, a_k, d_k\}\}$ ,

respectively, we get a contradiction to the maximality of  $\sum_{j=1}^k |E(S_j)|$ . Next we consider the case  $|E(v, V(S_i))| = 3$ . Note that we have  $N_{S_i}(v) \supset N_{S_i}(d_k)$  by Lemma 3.1(iv). Assume first that  $|E(d_k, V(S_i))| = 3$ . Then  $N_{S_i}(v) = N_{S_i}(d_k)$ . Suppose that  $N_{S_i}(v) \cap N_{S_i}(a_k) \neq \emptyset$ , and let  $y \in N_{S_i}(v) \cap N_{S_i}(a_k)$ . Then by replacing  $S_1, S_i, S_k$  and  $v$  by  $\{\{c_k, a_1, b_1, d_1\}\}, \{\{d_k\} \cup V(S_i - y)\}, \{\{y, v, a_k, b_k\}\}$  and  $c_1$ , respectively, we get a contradiction to the maximality of  $\alpha$ . Thus  $N_{S_i}(v) \cap N_{S_i}(a_k) = \emptyset$ , which implies that  $|E(a_k, V(S_i))| \leq 1$ . If  $|E(a_k, V(S_i))| = 0$ , then by replacing  $S_i$  by  $\{v\} \cup N_{S_i}(v)$  and  $v$  by the vertex in  $V(S_i) - N_G(v)$ , we get a contradiction to the maximality of  $\alpha$ . Thus  $|E(a_k, V(S_i))| = 1$ . Also, by (E), we have  $|E(c_1, V(S_i))| \geq 3$ . Take  $y \in N_{S_i}(v) \cap N_{S_i}(d_k) \cap N_{S_i}(c_1)$ . Then by replacing  $S_1, S_i, S_k$  and  $v$  by  $\{\{c_k, a_1, b_1, d_1\}\}, \{\{y, v, d_k, c_1\}\}, \{\{a_k\} \cup V(S_i - y)\}$  and  $b_k$ , respectively, we get a contradiction to the maximality of  $\alpha$  because  $|E(a_k, V(S_i) - \{x\})| = 1$  and  $a_k b_k \in E(G)$ . Assume now that  $|E(d_k, V(S_i))| \leq 2$ . Then by (E),  $1 \leq |E(d_k, V(S_i))| \leq 2$  and  $|E(c_1, V(S_i))| + |E(a_k, V(S_i))| \geq 6$ . Suppose that  $N_{S_i}(v) \cap N_{S_i}(d_k) \cap N_{S_i}(c_1) \neq \emptyset$ , and let  $y \in N_{S_i}(v) \cap N_{S_i}(d_k) \cap N_{S_i}(c_1)$ . Since  $|E(c_1, V(S_i))| + |E(a_k, V(S_i))| \geq 6$ , we have  $N_{S_i}(a_k) - \{y\} \neq \emptyset$ . Hence by replacing  $S_1, S_i, S_k$  and  $v$  by  $\{\{c_k, a_1, b_1, d_1\}\}, \{\{y, v, d_k, c_1\}\}, \langle V(S_i - y) \cup \{a_k\} \rangle$  and  $b_k$ , respectively, we get a contradiction to the maximality of  $\alpha$ . Thus  $N_{S_i}(v) \cap N_{S_i}(d_k) \cap N_{S_i}(c_1) = \emptyset$ . Since  $N_{S_i}(v) \supset N_{S_i}(d_k)$ , this together with (E) implies that  $|E(d_k, V(S_i))| = |E(c_1, V(S_i))| = 2$  and  $|E(a_k, V(S_i))| = 4$ . Let  $y \in N_{S_i}(v) \cap N_{S_i}(a_k) - N_G(c_1)$ . Then by replacing  $S_1, S_i, S_k$  and  $v$  by  $\{\{a_1, b_1, b_k, c_k\}\}, \langle V(S_i - y) \cup \{c_1\} \rangle, \{\{y, v, a_k, d_k\}\}$  and  $d_1$ , respectively, we get a contradiction to the maximality of  $\alpha$  because  $d_1 d_k, d_1 v \in E(G)$ . This concludes the discussion for the case  $|E(v, V(S_i))| = 3$ . Finally we consider the case  $|E(v, V(S_i))| \leq 2$ . By (E),  $|E(v, V(S_i))| = |E(d_k, V(S_i))| = 2, |E(\{a_k, c_1\}, V(S_i))| = 8$ . Let  $y \in N_{S_i}(v) \cap N_{S_i}(a_k)$ . Then by replacing  $S_1, S_i$  and  $S_k$  by  $\{\{a_1, b_1, b_k, c_k\}\}, \langle V(S_i - y) \cup \{c_1\} \rangle$  and  $\{\{y, v, a_k, d_k\}\}$ , respectively, we get a contradiction to the maximality of  $\sum_{j=1}^k |E(S_j)|$ .

Subcase 2:  $S_i \cong K_4^-$ .

By Lemma 2.1,  $|E(v, V(S_i))| \leq 3$ . Suppose that  $|E(v, V(S_i))| = 3$ . Then  $c_i, d_i \in N_G(v)$  by Lemma 2.1. By Lemma 3.2(iii), we also have  $|E(d_k, V(S_i))| \leq 1$ . By (E), this forces  $|E(a_k, V(S_i))| = |E(c_1, V(S_i))| = 4$ . Consequently by replacing  $S_1, S_i$  and  $S_k$  by  $\{\{c_k\} \cup V(S_1 - c_1)\}, \{\{c_1\} \cup V(S_i - c_i)\}$  and  $\{\{c_i, v, a_k, d_k\}\}$ , we get a contradiction to the maximality of  $\sum_{j=1}^k |E(S_j)|$ . Thus  $|E(v, V(S_i))| \leq 2$ . By (E), this forces  $|E(v, V(S_i))| = 2, |E(d_k, V(S_i))| = 2$ , and  $|E(\{a_k, c_1\}, V(S_i))| = 8$ . Suppose that  $N_{S_i}(v) \cap N_{S_i}(d_k) \neq \emptyset$ , and take  $y \in N_{S_i}(v) \cap N_{S_i}(d_k)$ . Then replacing  $S_1, S_i$  and  $S_k$  by  $\{\{c_k, a_1, b_1, d_1\}\}, \{\{a_k\} \cup V(S_i - y)\}$  and  $\{\{y, v, c_1, d_k\}\}$ , we get a contradiction to the maximality of  $k'$ . Thus  $N_{S_i}(v) \cap N_{S_i}(d_k) = \emptyset$ . Since  $|E(d_k, V(S_i))| = 2$  and  $|E(v, V(S_i))| = 2$ , we may assume  $c_i d_k \in E(G)$  by symmetry. Then by replacing  $S_i$  by  $\langle V(S_i - c_i) \cup \{v\} \rangle$  and  $v$  by  $c_i$ , we get a contradiction to the maximality of  $\alpha$ .

Subcase 3:  $S_i \cong S$ .

By the maximality of  $\alpha$ ,  $E(v, V(S_i)) \subset \{a_i v\}$  and  $E(d_k, V(S_i)) \subset \{a_i d_k\}$ , and hence  $2|E(\{v, d_k\}, V(S_i))| + |E(\{a_k, c_1\}, V(S_i))| \leq 4 + 8 = 12$ . This is a contradiction.  $\square$

For each  $x \in V(G - H - v)$ ,  $E(x, \{v, c_1, a_k, d_k\}) \subset \{xc_1, xa_k\}$  by the maximality of  $\alpha$ , and hence  $2|E(\{v, d_k\}, x)| + |E(\{a_k, c_1\}, x)| \leq 2$ . Consequently, by Lemma 3.7,  $2(d_G(v) + d_G(d_k)) + d_G(a_k) + d_G(c_1) \leq 15(k - 2) + 2(n - 4k - 1) + 2(3 + 3) + 8 + 6 = 2n + 7k - 6$ . On the other hand, by the assumption that  $\sigma_2(G) \geq n + k$ ,  $2(d_G(v) + d_G(d_k)) + d_G(a_k) + d_G(c_1) \geq 3n + 3k$ . Since  $n \geq 4k + 1$ , this is a contradiction.

This completes the proof of Theorem 1.

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